#### **PATENT**

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: DARIN L. PETERSON ET AL.

FILED: MARCH 22, 2004

FOR: METHODS AND APPARATUS FOR

RETAINING A TRAY STACK HAVING A PLURLAITY OF TRAYS FOR CARRYING

MICROELECTRONIC DEVICES

# <u>Information Disclosure Statement Within Three Months of Application Filing or Before First Action – 37 C.F.R. § 1.97(b)</u>

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

### 1. <u>Timing of Submission</u>

This information disclosure is being filed within three months of the filing date of this application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever occurs last [37 C.F.R. § 1.97(b)]. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

#### 2. Cited Information

- Copies of the following references can be found in parent U.S. Application No. 09/999,032:

# 3. Effect of Information Disclosure Statement (37 C.F.R. § 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior

Attorney Docket No. 108298352US4 Disclosure No. 98-0689.04

art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

### 4. Fee Payment

No fees are believed due because this Information Disclosure Statement is being filed before the mailing date of the first Office Action.

However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665.

Respectfully submitted,

Perkins Coie LP

Date: March 22, 2004

David T. Dutcher

Registration No. 51,638

# **Correspondence Address:**

Customer No. 25096 Perkins Coie LLP P.O. Box 1247 Seattle, Washington 98111-1247 (206) 359-8000

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Form PTO-1449 (Modified) (Use several sheets if necessary)

of

1

Sheet

COMPLETE IF KNOWN				
Application Number				
Confirmation Number				
Filing Date	March 22, 2004			
First Named Inventor	Darin L. Peterson			
Group Art Unit				
Examiner Name				
Attorney Docket No.	108298352US4			

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.	U.S. Patent or Appli	cation Kind Code (if known)	Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		2002/0034436	A1	Peterson et al.	03/21/2002	
		2002/0057963	A1	Peterson et al.	05/16/2002	
		2002/0159878	A1	Peterson et al.	10/31/2002	
		4,324,502		Pickles	04/13/1982	
		4,372,624		Neilson	02/08/1983	
		4,842,439		Caldwell et al.	06/27/1989	
		5,366,283		Crose	11/22/1994	
		5,739,050		Farnworth	04/14/1998	
		5,815,000		Farnworth et al.	09/29/1998	
		5,894,218		Farnworth et al.	04/13/1999	
		5,906,472		Nakamura	05/22/1999	
		6,018,249		Akram et al.	01/25/2000	
		6,025,728		Hembree et al.	02/15/2000	
		6,048,744		Corisis et al.	04/11/2000	
		6,072,323		Hembree et al.	06/06/2000	
		6,081,429		Barrett	06/27/2000	
		6,094,058		Hembree et al.	07/25/2000	
		6,107,122		Wood et al.	08/22/2000	
		6,150,717		Wood et al.	11/21/2000	
		6,163,956		Corisis	12/26/2000	
		6,188,232	B1	Akram et al.	02/13/2001	
		6,198,172	B1	King et al.	03/06/2001	
		6,208,156	B1	Hembree	03/27/2001	_ ::
		6,241,459	B1	Canella et al.	06/05/2001	
		6,247,629	B1	Jacobson et al.	06/19/2001	

2

EXAMINER DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application(s).

Attorney Docket No. 108298352US4 Dislcosure No. 98-0689.04

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Form PTO-1449 (Modified) (Use several sheets if necessary)

of

2

**Sheet** 

COMPLETE IF KNOWN				
Application Number				
Confirmation Number				
Filing Date	March 22, 2004			
First Named Inventor	Darin L. Peterson			
Group Art Unit				
Examiner Name				
Attorney Docket No.	108298352US4			

6,255 6,276 6,283	,464	Kind Code (if known) B1	Name of Patentee or Inventor of Cited Document  Akram et al.	Publication or Filing Date of Cited Document 07/03/2001	Pages, Columns, Lines, Who Relevant Passages or Relevant Figures Appear	
6,276	,464		Akram et al.	07/03/2001		
<u> </u>		B1			l	
6,283	=		Draney et al.	08/21/2001		
	,703	B1	Dowling et al.	09/04/2001		
6,285	,204	B1	Farnworth	09/04/2001		
6,294,839 B1		Mess et al.	09/25/2001			
	-	FOR	EIGN PATENT DOCUMENTS			
Foreign Patent or Application  Kind Code Office NUMBER (if known)			Name of Patentee or Applicant of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
JP	04206700		Juki Corp.	07/28/1992		
	OTHER P	RIOR AR	T-NON PATENT LITERATURE	DOCUMENTS		
Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published.						
	6,294  F Office JP	Foreign Patent or Applie Office NUMBER JP 04206700  OTHER P Include na (book, magazin	Foreign Patent or Application  Foreign Patent or Application  Sind Code  Office NUMBER (if known)  JP 04206700  OTHER PRIOR AR  Include name of the auth (book, magazine, journal, set	Foreign Patent or Application  Foreign Patent or Application  Office NUMBER (if known)  JP 04206700  OTHER PRIOR ART-NON PATENT LITERATURE  Include name of the author (in CAPITAL LETTERS), title of the article (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), and/or country where published.	Foreign Patent or Application Office NUMBER (if known)  OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s),	Foreign Patent or Application  Foreign Patent or Application  Office NUMBER (if known)  JP 04206700  STHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published.

2

EXAMINER		DATE CONSIDERED			
*EXAMINER:	Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and				
not considered. Include copy of this form with next communication to application(s).					